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PATENT  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: )  
)  
Anton C. Rothwell et al. ) Group Art Unit: 2152  
)  
Application No. 09/916,599 ) Examiner: Najjar, Saleh  
)  
Filed: July 26, 2001 ) Date: October 22, 2004  
)  
For: INTELLIGENT SPAM DETECTION SYSTEM )  
USING STATISTICAL ANALYSIS )  
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 2213-1450 on October 22, 2004.

Signed: Erica L. Farlow

Erica L. Farlow

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§1.56 AND 1.97(c)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449(s), at least one of which was provided by an Examiner(s) handling a related patent application(s), copies of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§ 1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

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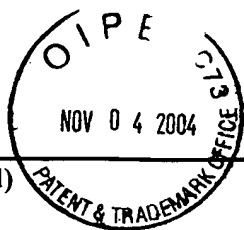
This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is being filed after the mailing date of an Office Action. Accordingly, applicants are including a check in the amount of \$180.00 for the fee due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any additional fees are due, the Commissioner is hereby authorized to charge such fees or credit any overpayment to Deposit Account 50-1351 (Order No. NAI1P022).

Respectfully submitted,  
Zilka-Kotab, PC

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<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Atty. Docket No. NAIIP022/01.106.01	Application No.: 09/916,599
	Applicant: Rothwell et al.	
	Filing Date: 07/26/2001	Group Art Unit: 2152

**U.S. Patent Documents**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A						
	B						
	C						
	D						
	E						
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**Foreign Patent or Published Foreign Patent Application**

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	L							
	M							
	N							
	O							
	P							

**Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	R	Office Action dated 12/22/2003 from US Application No. 09/916,930, filed 07/26/2001.
	S	Office Action dated 03/12/2003 from US Application No. 09/916,930, filed 07/26/2001.
	T	Office Action dated 11/27/2002 from US Application No. 09/916,930, filed 07/26/2001.
	U	Office Action dated 09/24/2002 from US Application No. 09/916,930, filed 07/26/2001.
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form 1449 (Modified)  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Atty. Docket No. NAI1P022/01.106.01 Applicant: Rothwell et al. Filing Date: 07/26/2001	Application No.: 09/916,599  Group Art Unit: 2152
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Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

	Application/Control No. <del>09/916,930</del> 09/916,599	Applicant(s)/Patent Under Reexamination ROTHWELL ET AL.	
	Examiner <del>Young-N-Won</del> Majjar	Art Unit <del>2435</del> 2152	Page 1 of 1

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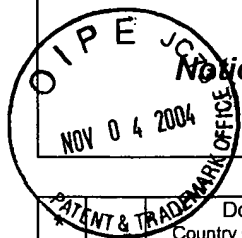
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	V	
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.



# Notice of References Cited

Application/Control No.

~~09/916,930~~ 09/916,599Applicant(s)/Patent Under  
Reexamination  
ROTHWELL ET AL.

Examiner

Young N. Won *Najjar*

Art Unit

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	K	US-			
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	M	US-			

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	U	Tim Bass, Lt. Col. Glenn Watt, "A Simple Framework For Filtering Queued SMTP Mail", 1997, IEEE, pages 1140-1144
	V	Keith C. Ivey, "Spam: The Plague of Junk E-Mail", April 1998, EEI Communications, pages 15-16
	W	Harris Ducker, "Support Vector Machines for Spam Categorization", September 1999, IEEE Transactions on Neural Networks, Vol.10, No.5, pages 1048-1054.
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